245e notes

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April 14, 2019

Abstract

This notes contains the lecture notes since lec09

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# lec 09

syntaxsemanticsDefine wfftruth tables, satisfiability

## 1.1 A proof system for propositional logic

Desirable properties of proof system:

- 1. It can only prove "correct" statements
- 2. There is a "method" to check a given object is a valid proof
- 3. Every "correct" statement has a proof

When "correct statement" stands for a propositional tautology, a proof of  $\alpha$  will be a truth table for  $\alpha$  that has only T's in the rightmost column.

Our proof system consists of two components: Axioms and Deduction rules.

Axioms The set of axioms - and wff of one of the following forms:

1. 
$$(\alpha \to (\beta \to \alpha))$$
  
2.  $((\alpha \to (\beta \to \gamma)) \to ((\alpha \to \beta) \to (\alpha \to \gamma)))$   
3.  $(((\neg \alpha) \to (\neg \beta)) \to (\beta \to \alpha))$ 

### Example

$$(\underbrace{((\neg p) \to q)}_{\alpha} \to \underbrace{(p)}_{\beta} \to \underbrace{((\neg)p \to q)}_{\alpha}))$$

It's an axiom.

$$((p \to q) \to ((\neg q) \to (\neg p)))$$

Not an axiom. purely syntactic. check it symbol by symbol.

 $\{\neg, \rightarrow\}$  is an adequate set of connectives.

**Examples**  $(p \rightarrow p)$  is not one of axioms (but a tautology), just 5 symbols -every axiom has more.

**Deduction Rule** The second component of the proof system is a deduction rule.  $\frac{\alpha, \alpha \to \beta}{\beta}$ . Modus ponens.

The set of provable wff's is

$$I(Axioms, \{modus \ ponens\})$$

• A formal proof is a construction sequence in the structure. Namely,  $\alpha_1, \ldots, \alpha_m$  is a formal proof of some  $\beta$ , if each  $\alpha_i$  is either an axiom or the result of applying Modus Ponens (MP) to some  $\alpha_j, \alpha_k$  for j, k < i.

 $\begin{array}{l} \textbf{Example} \\ (\alpha \rightarrow ((\alpha \rightarrow \alpha) \rightarrow \alpha)) \text{ axiom } 1 \\ ((\underbrace{\alpha}_{A} \rightarrow (\underbrace{(\alpha \rightarrow \alpha)}_{B} \rightarrow \underbrace{\alpha}_{C})) \rightarrow ((\underbrace{\alpha}_{A} \rightarrow \underbrace{(\alpha \rightarrow \alpha)}_{B}) \rightarrow (\underbrace{\alpha}_{A} \rightarrow \underbrace{\alpha}_{C}))) & \text{ Ax } 2 \\ \text{Then MP, } ((\alpha \rightarrow (\alpha \rightarrow \alpha)) \rightarrow (\alpha \rightarrow \alpha)) \\ (\alpha \rightarrow (\alpha \rightarrow \alpha)) \text{ Ax } 1 \\ \text{MP, } \alpha \rightarrow \alpha. \end{array}$ 

**Notation** We will use  $\vdash$  to denote "formally proves".  $\vdash \alpha$ . " $\alpha$  has a formal proof."

**Example** For every  $\alpha$ ,  $\vdash ((\neg(\neg\alpha)) \rightarrow \alpha)$ 

$$\begin{cases} (\neg \neg \neg \alpha \rightarrow (\neg \alpha \rightarrow \neg \neg \alpha)) & Ax1 \\ ((\neg \neg \neg \alpha \rightarrow (\neg \alpha \rightarrow \neg \alpha)) \rightarrow ((\neg \neg \neg \alpha \rightarrow \neg \alpha) \rightarrow (\neg \neg \neg \alpha \rightarrow \neg \alpha))) & Ax2 \\ (((\neg \neg \neg \alpha \rightarrow \neg \alpha) \rightarrow (\neg \neg \neg \alpha \rightarrow \neg \neg \alpha)) & MP \\ ((((\neg \neg \neg \alpha \rightarrow \neg \alpha) \rightarrow (\neg \neg \neg \alpha \rightarrow \neg \alpha)) \rightarrow (next time)) & \\ \end{cases}$$

**Theorem** (Soundness) For every wff  $\alpha$ , if  $\vdash \alpha$  then  $\alpha$  is a tautology.

**Proof** By generalized induction on I(Axioms, MP). Base case: Check that every axiom is tautology. Induction Step: Assume both  $\alpha$  and  $(\alpha \to \beta)$  are tautologies, need to show that  $\beta$  is a tautology. By way of contradiction, assume  $\beta$  is not a tautology, then for some truth assignment  $v, \overline{v}(\beta) = F$ .  $\overline{v}(\alpha) = T$  since  $\alpha$  is a tautology by IH.  $\overline{v}(\alpha \to \beta) = F$  contradicts the assumption " $(\alpha \to \beta)$  is tautology".

 $\vdash \alpha$  (syntax).  $\alpha$  tautology (semantics)

# L10

## 2.1 Formal Proofs in Prop Logic

The set of formal theorems is

 $Th = I(Axioms, \{MP\})$ 

A formal proof is a construction sequence for this structure. We use  $\vdash \alpha$  to denote " $\alpha$  is a formal theorem".

We showed that, for any  $\alpha$ ,  $\vdash (\alpha \rightarrow \alpha)$ .

The Soundness Theorem Any formal theorem is a tautology.

### 2.2 **Proof from assumptions**

Let  $\Sigma$  be a set of wff's. The set of formal theorems under the assumptions  $\Sigma$  is

 $Th(\Sigma) = I(Axioms \cup \Sigma, \{MP\})$ 

 $\alpha_1, \ldots, \alpha_n$  is a formal proof under  $\Sigma$  if each  $\alpha_i$  is either axiom or <u>a member of  $\Sigma$ </u> or follows from some  $\alpha_j, \alpha_k, j, k < i$  using MP.

**Example** Let  $\Sigma = \{A, (A \to B)\}$ . Claim:  $\{A, (A \to B)\} \vdash B$  $A \quad (\in)$  $(A \to B) \quad (\in)$  $B \quad MP$ 

 $\begin{array}{c|c} \textbf{Example} \quad \text{For every } \alpha, \beta, \{\alpha, (\neg \alpha)\} \vdash \beta \\ & ((\neg \alpha) \rightarrow ((\neg \beta) \rightarrow (\neg \alpha))) & Ax1 \\ & (\neg \alpha) & \in \\ & ((\neg \beta) \rightarrow (\neg \alpha)) & MP \\ \hline \textbf{Formal Proof} & ((((\neg \beta) \rightarrow (\neg \alpha)) \rightarrow (\alpha \rightarrow \beta)) & Ax3 \\ & (\alpha \rightarrow \beta) & MP \\ & \alpha & \in \\ & \beta & MP \end{array}$ 

### 2.3 Properties of proofs from assumptions

**Extended Soundness Theorem** For every  $\Sigma, \alpha$ , if  $\Sigma \vdash \alpha$ , then  $\Sigma \models \alpha$ . (Substituting  $\Sigma = \emptyset$ , yields the basic Soundness Theorem)

**Proof** (Notice that we can rephrase this theorem as: for any  $\alpha \in I(Ax \cup \Sigma, \{MP\}), \Sigma \models \alpha$ ) I leave the proof to the assignment.

**Monotonicity** For every  $\Sigma, \Sigma', \alpha$ . If  $\Sigma \vdash \alpha$ , and  $\Sigma \subseteq \Sigma'$ , then  $\Sigma' \vdash \alpha$ . In other words,  $\Sigma \subseteq \Sigma' \implies Th(\Sigma) \subseteq Th(\Sigma')$ .

(Note that human reasoning does not enjoy this property)

Quest  $\vdash^{(hr)}$  There will be a class today Quest, Piazza (Shai is sick)  $\nvDash^{(hr)}$  There will be a class today

**Proof** Since  $\Sigma \vdash \alpha$ , there is a construction seq (formal proof) of  $\alpha$ m based on  $\Sigma \cup Ax$ ,

 $\alpha_1 \dots \alpha_n = \alpha$ 

each  $\alpha_1$  is either an axiom or  $\alpha_i \in \Sigma$ , but in that case,  $\alpha_1 \in \Sigma'$ , or  $\alpha_i$  is the outcome of MP on earlier  $\alpha_j, \alpha_k$ , therefore  $\alpha_1 \dots \alpha_n$  is also a proof from  $\Sigma'$ .

**Strong Monotonicity** If for every  $\alpha \in \Sigma', \Sigma \vdash \alpha$ , then  $Th(\Sigma') \subseteq Th(\Sigma)$ .

**Example** Let  $\Sigma = \{A, (A \to B)\}$ .  $\Sigma' = \{B\}$ .  $\Sigma \vdash \Sigma'$  (every  $\alpha \in \Sigma'$  has a proof under  $\Sigma$ )  $\implies$   $Th(\{B\}) \subseteq Th(\{A, (A \to B)\})$ 

**The deduction theorem** For every  $\Sigma, \alpha, \beta, \qquad \Sigma \cup \{\alpha\} \vdash \beta$  if and only if  $\Sigma \vdash (\alpha \rightarrow \beta)$  (in particular  $\{\alpha\} \vdash \beta$  iff  $\vdash (\alpha \rightarrow \beta)$ )

**Example** For every  $\alpha, \beta, \gamma$ .  $\{(\alpha \to \beta, \beta \to \gamma)\} \vdash (\alpha \to \gamma)$ 

**Proof** Applying deduction theorem, if suffices to show

 $\{(\alpha \to \beta, \beta \to \gamma), \alpha\} \vdash \gamma$ 

#### Proof of deduction theorem

Easy direction Assume  $\Sigma \vdash (\alpha \rightarrow \beta)$ , by monotonicity,  $\overline{\Sigma \cup \{\alpha\} \vdash (\alpha \rightarrow \beta)}$  by strong monotonicity, it suffices to show that

$$\Sigma' = \Sigma \cup \{\alpha\} \cup \{\alpha \to \beta\} \vdash \beta$$

 $\Sigma \vdash \Sigma'$ We know  $\alpha, \alpha \to \beta, \beta(MP)$  is a proof from  $\Sigma'$ 

<u>The harder direction</u> Show that if  $\Sigma \cup \{\alpha\} \vdash \beta$  then  $\Sigma \vdash (\alpha \to \beta)$ . Rephrase this statement as, for all  $\beta \in Th(\Sigma \cup \{\alpha\}), \Sigma \vdash (\alpha \to \beta)$ . Now prove by generalized induction on  $Th(\Sigma \cup \{\Sigma \cup \{\alpha\}\}) = I(Ax \cup \Sigma \cup \{\alpha\}, MP)$ 

- case 1:  $\beta$  is an axiom  $\begin{array}{cc} \beta & (axiom) \\ \beta \rightarrow (\alpha \rightarrow \beta) & ax1 \\ (\alpha \rightarrow \beta) & MP \end{array}$
- case 2:  $\beta \in \Sigma$   $\begin{array}{cc} \beta & assumption \\ \beta \to (\alpha \to \beta) & ax1 \\ \alpha \to \beta & MP \end{array}$
- case 3:  $\beta = \alpha$ . So  $\Sigma \vdash (\alpha \to \beta)$  is, in fact,  $\Sigma \vdash (\alpha \to \alpha)$ , we showed that  $\emptyset \vdash (\alpha \to \alpha)$ . Use monotonicity.

The induction Step

 $\frac{\gamma, (\gamma \to \delta)}{\delta} \text{ MP}$ Assume  $\Sigma \vdash (\alpha \to \gamma)$  and  $\Sigma \vdash (\alpha \to (\gamma \to \delta))$  need to show  $\Sigma \vdash (\alpha \to \delta)$ So by our assumptions plus strong monotonicity, imply that it suffices to show:

$$\Sigma \cup \{(\alpha \to \gamma), (\alpha \to (\gamma \to \delta))\} \vdash (\alpha \to \delta)$$

$(\alpha \to (\gamma \to \delta)) \to ((\alpha \to \gamma) \to (\alpha \to \delta))$	Ax2
$(\alpha \to (\gamma \to \delta))$	ass
$(\alpha \to \gamma) \to (\alpha \to \delta)$	MP
$(\alpha \rightarrow \gamma)$	Ass
$(\alpha \rightarrow \delta)$	MP

**Example** Claim: for every  $\alpha$ ,  $\vdash (\neg \neg \alpha \rightarrow \alpha)$ .

Proof	<b>Proof</b> By the deduction theorem, it suffices to show $\{(\neg \neg \alpha)\} \vdash \alpha$	
	$((\neg\neg\alpha) \to (\neg\neg\neg\neg\alpha \to \neg\alpha))$	Ax1
	$\neg \neg \alpha$	Assumption
	$(\neg\neg\neg\neg\alpha \rightarrow \neg\neg\alpha)$	MP
	$(\neg \neg \neg \neg \alpha \rightarrow \neg \neg \alpha) \rightarrow (\neg \alpha \rightarrow \neg \neg \neg \alpha)$	Ax3
formal proof	$(\neg \alpha \rightarrow \neg \neg \neg \alpha)$	MP
	$((\neg \alpha \to \neg \neg \neg \alpha) \to (\neg \neg \alpha \to \alpha))$	Ax3
	$\neg \neg \alpha \to \alpha$	MP
	$\neg \neg \alpha$	ass
	lpha	MP

### 2.4 Important syntactic notion - Consistency

**Definition 1**  $\Sigma$  is consistent if

For no  $\alpha, \Sigma \vdash \alpha$  and  $\Sigma \vdash (\neg \alpha)$ .

**Definition 2**  $\Sigma$  is consistent if there exists some  $\alpha$  such that  $\Sigma \nvDash \alpha$ .

**Claim** The two definitions are equivalent.

# L11

**Definition 1** A set of wff's  $\Sigma$  is consistent if

For no  $\alpha, \Sigma \vdash \alpha$  and  $\Sigma \vdash (\neg \alpha)$ .

**Definition 2**  $\Sigma$  is consistent if there exists some  $\alpha$  such that  $\Sigma \nvDash \alpha$ .

**Claim** The two definitions are equivalent.

**Proof** Assume that for no  $\alpha$  does  $\Sigma \vdash \alpha$ , and  $\Sigma \nvDash \alpha$ . Pick any  $\alpha$ , say  $\alpha \equiv p$ , either  $\Sigma \nvDash \alpha$ , or, if it does, then  $\Sigma \nvDash \neg \alpha$ . In any case, we found some  $\beta$  such that  $\Sigma \nvDash \beta$ .

Assume that for some  $\beta, \Sigma \nvDash \beta$ . If the first definition is violated, then for some  $\alpha, \Sigma \vdash \alpha$  and  $\Sigma \vdash \neg \alpha$ . Therefore  $\Sigma \vdash \Sigma \cup \{\alpha, \neg \alpha\} \vdash \{\alpha, \neg \alpha\}$  $\{\alpha, \neg \alpha\} \vdash \beta$  for every  $\alpha, \beta$ . So  $\Sigma \vdash \beta$  for every  $\beta$ , contradiction.

Let  $\Sigma \subseteq \Sigma'$  if  $\Sigma'$  is consistent, then so is  $\Sigma$ .

**Corollary** If any set of wff's is consistent, then in particular,  $\emptyset$  is consistent.

Is  $\emptyset$  consistent?

**The soundness Theorem** If  $\vdash \alpha$ , then  $\alpha$  is tautology. In particular,  $\nvDash p$ .

syntax	semantics
definition of wff	truth assignments
proof system	$\alpha$ satisfiable
$\Sigma$ consistent	$\Sigma$ satisfiable
$\vdash \alpha$	$\alpha$ tautology
	$\alpha\vDash\beta$
$\Sigma \vdash \alpha$	$\Sigma \vDash \alpha$
	compactness theorem

**Theorem** Every satisfiable  $\Sigma$  is consistent.

**Proof** By way of contradiction, assume  $\Sigma$  is inconsistent. So for some  $\alpha$ , both  $\Sigma \vdash \alpha$  and  $\Sigma \vdash \neg \alpha$ . If  $\Sigma$  is satisfiable, then for some truth assignment v, v satisfies all wff's in  $\Sigma$ . By soundness,  $\Sigma \vDash \alpha$  and  $\Sigma \vDash \neg \alpha$ . So for that assignment v, we get  $v(\alpha) = T$ , and  $v(\neg \alpha) = T$ , violating the truth table of  $\neg$ . Contradiction.

Is  $\Sigma = \{(p_i \to p_j) : i, j \in \mathbb{N}\}$  consistent? By out previous theorem, it suffices to show that  $\Sigma$  is satisfiable. For example that all-true v satisfies every member of  $\Sigma$ .

**Definition** We say that  $\Sigma$  is maximally consistent if  $\Sigma$  is consistent but, for every  $\alpha$  either  $\Sigma \vdash \alpha$  or  $\Sigma \cup \{\alpha\}$  is inconsistent.

(note that whenever  $\Sigma \vdash \alpha$ ,  $\Sigma \cup \{\alpha\}$  is no stronger than  $\Sigma$ . Namely, for every  $\beta$ , if  $\Sigma \cup \{\alpha\} \vdash \beta$ , then  $\Sigma \vdash \beta$ .)

**Example** Let  $\Sigma \equiv \{p_1\}$  (over the variables  $p_1 p_2 \dots$ )

**Claim 1**  $\{p_1\}$  is consistent. Since it is satisfiable.

**Claim 2**  $\{p_1\}$  is not maximally consistent.

Let  $\alpha = p_3$ .  $\{p_1\} \nvDash p_3$ , by soundness, it suffices to show that  $\{p_1\} \nvDash p_3$ . Consider any truth assignment such that  $v(p_1) = T, v(p_3) = F$ , then v satisfies  $p_1$  but not  $p_3$ .

Finally note that  $\{p_1, p_3\}$  is consistent, since it is satisfiable.

**Claim**  $\Sigma\{p_i : i \in \mathbb{N}\}$  is maximally consistent (over  $\{p_1 p_2 \dots\}$ )

**Proof** Why is  $\Sigma$  consistent? It is satisfiable by all T assignment.

Why is it maximally consistent? Need to show that for every  $\alpha$ , if  $\Sigma \nvDash \alpha$ ,  $\Sigma \cup \{\alpha\}$  is inconsistent. We can already show that if  $\Sigma \nvDash$ , then  $\Sigma \cup \{\alpha\}$  is not satisfiable. (since  $\Sigma$  is maximally satisfiable)

 $\Sigma$  consistent ~ A set of vectors A is linearly independent

 $\Sigma$  maximally consistent ~ A set of vectors A is maximally linearly independent ~ A is a basis

**Lemma** For every consistent  $\Sigma$ , there exists a maximally consistent  $\Sigma' \supseteq \Sigma$ 

**Proof** Let  $\alpha_1 \alpha_2 \ldots \alpha_n \ldots$  be a list of all wffs over  $\{p_1 \ldots p_n \ldots\}$ Let  $\Sigma_0$  be  $\Sigma$ , and construct a sequence of sets of wffs

$$\Sigma_0 \subseteq \Sigma_1 \ldots \subseteq \Sigma_n \subseteq \ldots$$

such that

1. each  $\Sigma_i$  is consistent.

2. For every *i*, either  $\Sigma_i \vdash \alpha_i$  or  $\Sigma_i \nvDash \alpha_i$ .

The construction of the  $\Sigma_i$ 's is by induction on *i*.

 $\Sigma_0 = \Sigma$  clearly satisfies our requirements 1 and 2.

Given  $\Sigma_i$  if  $\Sigma_i \vdash \neg \alpha_i$ , let  $\Sigma_{i+1} = \Sigma_i$ . (then if  $\Sigma_i$  satisfies 1 and 2, then so will  $\Sigma_{i+1}$ ).

If on the other hand,  $\Sigma_i \nvDash \neg \alpha_{i+1}$ , then let  $\Sigma_{i+1} = \Sigma_i \cup \{\alpha_{i+1}\}$ 

**Claim** If  $\Sigma_i$  satisfies 1 and 2, then so does  $\Sigma_{i+1}$ .

**Proof of claim** requirement 2 follows by the definition of  $\Sigma_{i+1}$ . But why is  $\Sigma_{i+1}$  consistent? By way of contradiction, otherwise  $\Sigma_{i+1} \vdash \neg \alpha_{i+1}$  (inconsistent  $\Sigma$  proves everything)

**Claim** if  $\Sigma \cup \{\alpha\} \vdash \neg \alpha$ , then  $\Sigma \vdash \neg \alpha$  (for every  $\Sigma, \alpha$ )

by the claim, if  $\Sigma_{i+1}$  is inconsistent, the  $\Sigma_{i+1} \vdash \neg \alpha_{i+1}$  but  $\Sigma_{i+1} = \Sigma_i \cup \{\alpha_{i+1}\}$  so  $\Sigma_i \vdash \neg \alpha_{i+1}$ . Contradiction. We assumed  $\Sigma_i \nvDash \neg \alpha_{i+1}$  and defined  $\Sigma_{i+1} = \Sigma_i \cup \{\alpha_i\}$ .

Finally define

$$\Sigma' = \bigcup_{i \in \mathbb{N}} \Sigma_i$$

is maximally consistent.

# L12

**Thm** Any consistent set of wffs  $\Sigma$  can be extended  $\Sigma' \supseteq \Sigma$  that is maximally consistent.

**Proof** We construct a sequence of sets of wffs  $\Sigma_0 \subseteq \Sigma_1 \subseteq \ldots \Sigma_i \subseteq \Sigma_{i+1} \ldots$  s.t

- 1.  $\Sigma_0 = \Sigma$
- 2. For all  $i, \Sigma_i$  is consistent
- 3. For some fixed enumeration of all wff's.  $\alpha_1, \alpha_2, \ldots, \alpha_n \ldots$  (over  $p_1, \ldots, p_n$ ) For all *i*, either  $\Sigma_i \vdash \alpha_i$  or  $\Sigma_i \vdash \neg \alpha_i$

Assuming  $\Sigma_i$  is defined and meets the requirements. Let  $\Sigma_{i+1} = \begin{cases} \Sigma_i & \text{if } \Sigma_i \vdash \neg \alpha_{i+1} \\ \Sigma_i \cup \{\alpha_{i+1}\} & \text{otherwise} \end{cases}$ 

**Claim** Assuming  $\Sigma_i$  meets the requirements then so will  $\Sigma_{i+1}$  (defined above)

**Side Claim** For every  $\Sigma, \alpha$ , if  $\Sigma \cup \{\alpha\} \vdash \neg \alpha$ , then  $\Sigma \vdash \neg \alpha$ 

To prove the side claim, it suffices to show that

$$\vdash (\alpha \to \neg \alpha) \to \neg \alpha$$

why does it suffice? Assume  $\Sigma \cup \{\alpha\} \vdash \neg \alpha$ . Then by deduction thm,  $\Sigma \vdash (\alpha \rightarrow \neg \alpha)$ 

**Corollary** Our construction of  $\Sigma_i$ 's can be carried out while respecting requirements 1,2,3

Given  $\Sigma$ , we constructed  $\Sigma \subseteq \ldots \Sigma_i \subseteq \ldots$ Now define

$$\Sigma' = \bigcup_{i=1}^{\infty} \Sigma_i$$

**Claim** The  $\Sigma'$  we constructed is maximally consistent.

**Proof** maximality: For every  $\alpha$ ,  $\alpha = \alpha_i$  for some  $i \in \mathbb{N}$ . Therefore by 2,  $\Sigma \vdash \alpha_i$  or  $\Sigma \vdash \neg \alpha_i$ . In other words,  $\Sigma_i \vdash \alpha$  or  $\Sigma_i \vdash \neg \alpha$ . Each  $\Sigma_i$  is a subset of  $\Sigma'(= \cup \Sigma_i)$ , therefore, by monotinicity,  $\Sigma' \vdash \alpha$  or  $\Sigma' \vdash \neg \alpha$ . Consistency: by contradiction, it's not. In that case, for some  $\alpha$ ,  $\Sigma' \vdash \alpha$  and  $\Sigma' \vdash \neg \alpha$ . Let  $\beta_1 \dots \beta_k$  be a formal proof of  $\alpha$  from  $\Sigma'$ . Let  $\gamma_1 \dots \gamma_k$  be a formal proof of  $\neg \alpha$  from  $\Sigma'$ .

each  $\beta_i$  that is an assumption from  $\Sigma'$  belongs to some  $\Sigma_{m_i}$ . Similarly, each  $\gamma_i$  that is an assumption belongs to some  $\Sigma_{m_j}$ . Since both formal proofs (of alpha and neg alpha) are finite. there is some  $i^*$  bigger than all of these  $m_i$ 's and  $m_j$ 's. Therefore for each  $\beta_i$  or  $\gamma_j$  that are used as assumptions  $\beta_i, \gamma_j \in \Sigma_{i^*}$ . Now  $\Sigma_{i^*} \vdash \alpha$  and  $\Sigma_{i^*} \vdash \neg \alpha$ . So  $\Sigma_{i^*}$  is inconsistent, contradict 2 in our construction.

**Theorem** Every consistent  $\Sigma$  is satisfiable

**proof** Let  $\Sigma'$  be a max consistent set of wffs st  $\Sigma \subseteq \Sigma'$ . Define a truth assignment  $v_{\Sigma'}$  as follows:

$$v_{\Sigma'} = \begin{cases} T & \text{if } \Sigma; \vdash p_i \\ F & \text{otherwise} \end{cases}$$

**Claim** For every formula  $\alpha$ ,  $v_{\Sigma'}(\alpha) = T$  iff  $\Sigma' \vdash \alpha$ .

# L13

The completeness thm Every consistent  $\Sigma$  is satisfiable.

**pf** First step: pick  $\Sigma' \supseteq \Sigma$  which is maximally consistent. Second: Define a truth assignment  $V_{\Sigma'}$  as follows, for any prop variable p,

$$V_{\Sigma'}(p) = \begin{cases} T & \text{if } \Sigma' \vdash p \\ F & otherwise \end{cases}$$

**Claim** For every formula  $\alpha, \Sigma' \vdash \alpha$  iff  $\overline{v}_{\Sigma'}(\alpha) = T$ 

Proof of the claim By generalized induction on the set of all wffs

 $I(Prop \ variables, \{\rightarrow, \neg\})$ 

**Base**  $\alpha = p$  for some prop. var. p, If  $\Sigma' \vdash p$ , by def of  $V_{\Sigma'}$ ,  $V_{\Sigma'}(p) = T$ . If  $\Sigma' \nvDash p$ , then  $V_{\Sigma'}(p) = F$ .

**Induction Step** Assume the claim holds for  $\alpha$  and for  $\beta$ , need to show it for  $(\neg \alpha)$  and  $(\alpha \rightarrow \beta)$ .

- First case:  $(\neg \alpha)$ . if  $\Sigma' \vdash \neg \alpha$  by the consistency of  $\Sigma', \Sigma' \nvDash \alpha$ . So by ind. hyp.  $\overline{V}_{\Sigma'}(\alpha) = F$ . So by truth table of  $\neg, \overline{V}_{\Sigma'}(\neg \alpha) = T$ .
  - if  $\Sigma' \nvDash (\neg \alpha)$ , then by its maximallity,  $\Sigma' \vdash \alpha$ . so by ind. hyp,  $\overline{V}_{\Sigma'}(\alpha) = T$ , then  $\overline{V}_{\Sigma'}(\neg \alpha) = F$ .
- second case  $(\alpha \to \beta)$ . if  $\Sigma' \vdash \alpha \to \beta$ . Either  $\Sigma' \vdash \alpha$  in which case  $\Sigma' \vdash \beta$ . Using the ind. hyp, we get  $\overline{V}_{\Sigma'}(\alpha) = T$ , and  $\overline{V}_{\Sigma'}(\beta) = T$ , so by truth table if  $\to$ ,  $\overline{V}_{\Sigma'}(\alpha \to \beta) = T$ .

otherwise  $\Sigma' \nvDash \alpha$ , so by the ind. hyp,  $\overline{V}_{\Sigma'}(\alpha) = F$ , then  $\overline{V}_{\Sigma'}(\alpha \to \beta) = T$ .

Assume  $\Sigma' \nvDash \alpha \to \beta$ .

Then  $\Sigma' \nvDash \beta$ , if it does, we can use the axiom  $\beta \to (\alpha \to \beta)$  and MP to get  $\Sigma' \vdash (\alpha \to \beta)$  contradiction. So by ind. hyp,  $\overline{V}_{\Sigma'}(\beta) = F$ .

We need to show  $\overline{V}_{\Sigma'}(\alpha \to \beta) = F$ . Since we know already  $\overline{V}_{\Sigma'}(\beta) = F$ . Our claim holds unless  $\overline{V}_{\Sigma'}(\alpha) = F$ . Now by ind. hyp, this implies that  $\Sigma' \nvDash \alpha$ , so by maximality,  $\Sigma' \vdash \neg \alpha$ , then  $\Sigma' \vdash (\alpha \to \beta)$ . Contradiction.

**Subclaim** If  $\Sigma' \vdash \alpha$  then  $\Sigma' \vdash (\alpha \rightarrow \beta)$ . Use deduction, suffices to show that  $\Sigma \cup \{\alpha\} \vdash \beta$  iff  $\Sigma \cup \{\alpha\} \cup \{\neg\alpha\} \vdash \beta$ 

**Completeness thm** for all  $\alpha$  and any set of wffs  $\Sigma$ . If  $\Sigma \vDash \alpha$  then  $\Sigma \vdash \alpha$ .

**Proof** Otherwise, then  $\Sigma \cup \{\neg \alpha\}$  is consistent. So by out last result,  $\Sigma \cup \{\neg \alpha\}$  is satisfiable, so  $\Sigma \nvDash \alpha$ .

**Claim** If  $\Sigma$  is maximally consistent, then it is maximally satisfiable.

 $\mathbf{Proof} \quad \mathrm{consistent} \implies \mathrm{satisfiable}$ 

Since for every  $\alpha$ ,  $\Sigma \vdash \alpha$  or  $\Sigma \vdash \neg \alpha$ . We get by soundness,  $\Sigma \vDash \alpha$  or  $\Sigma \vDash \neg \alpha$ .

If  $\Sigma$  is maximally satisfiable, satisfiable  $\implies$  consistent. Since for every  $\alpha$ ,  $\Sigma \vDash \alpha$  or  $\Sigma \vdash \neg \alpha$ . We get by completeness,  $\Sigma \vdash \alpha$  or  $\Sigma \vdash \neg \alpha$ , so  $\Sigma$  us maximally consistent.

**Recall Compactness thm**  $\Sigma$  satisfiable iff every finite  $A \subseteq \Sigma$  is satisfiable.

One big question: is there a polynomial time algorithm to figure out if a given  $\alpha$  is satisfiable, P vs NP

lengths of proofs: Is there a polynomial p(n) such that for every tautology  $\alpha$ , there is a formal proof of  $\leq p(|\alpha|)$  length?

Existence of proof system.

# L14

p: Every man is mortal.q: Socrates is a man.

s: Socrates is mortal.

 $\{p,q\}\vDash s$ 

## 6.1 First order logic (predicate calculus)

Objects	properties
man	mortal
socrates	

Actually we shall discuss a large family of languages.

**Common to all** logical symbols  $\rightarrow$ ,  $\land$ ,  $\lor$ ,  $\neg$  propositional connectives  $\forall$ ,  $\exists$  quantifiers = equality

Language specific symbols	rela
	fun

constant symbols relation symbols function symbols

### 6.1.1 (Informal) examples of specific languages

1. A language for number theory constant symbols 0, 1 a, brelation symbols  $\leq R$ function symbols +, \* f, g $\phi(x) \equiv \forall y \forall z (g(y, z) = x \rightarrow y = x \lor z = x)$  "x is a prime number"  $\psi(x) \equiv \exists z f(z, z) = x$  "x is an even number"

" there are  $\infty$  many prime numbers"  $\forall x \exists y \left( R(x, y) \land \phi(y) \right)$ 

2. A language for set theory

#### 6.1. FIRST ORDER LOGIC (PREDICATE CALCULUS)

 $\begin{array}{ll} \text{relation symbols} & \in & R \quad R(x,y) \text{ stands for } x \in y \\ \phi(x) = \text{``x is an empty set.''} \quad \forall y(\neg R(y,x)) \\ \text{``there is only one empty set''} \quad \forall x \forall y(\phi(x) \land \phi(y) \to x = y) \\ x \subseteq y \quad \quad \forall z(R(z,x) \to R(z,y)) \end{array}$ 

What can we say in the minimal language. No constant symbols, no function symbols, no relation symbols.

 $\forall x \forall y (x = y) \equiv$  "there is only one element in my universe"

there are more than 2 elements		$\exists x \exists y \exists z  (\neg(x=y) \land \neg(y=z) \land \neg(x=z))$
there are at most 2 elements		$\forall x \forall y \forall z  (x = y \lor y = z \lor x = z)$
syntax	semantics	
what is wff	$\alpha\vDash\beta$	
proof system	$\Sigma$ is satisfiable	
consistency		
$\Sigma \vdash \alpha$		
:		

### Fix a language

constant symbols  $a_1a_2...$ function symbols  $f_1, f_2, ...$ arity 1, 1, 3, 2 relation symbols  $R_1, R_2...$ arity 1, 1, 2

• Step 1: Define the collection of "words" that denote objects - terms

the set of Terms is defined as I(A, P) where A- all constant symbols and all variable symbols  $x_1x_2...$  $P \{O_f : f \text{ is a function symbol}\} = \frac{t_1, t_2}{f(t_1, t_2)} = \frac{t}{f(t)}$ 

#### Examples of terms

- 1. language for number theory  $a, b, x_1 x_2 \dots f(a, b), g(x, a), f(x, y) = f(g(x, a), y)$   $g(f(b, b), x) \mapsto 2x$  $f(g(x, x), f(f(y, y), y)) \mapsto x^2 + 3y$
- 2. The terms of the language of set theory No function symbols, therefore P is empty. I(A, P) = A
- 3. The terms in the empty language Same- just variable symbols
- Step 1.5: defining the set of atomic formulas

 $\{R(t_1,\ldots,t_k): \mathbb{R} \text{ is a k-ary relation symbol, and } t_1\ldots,t_k \text{ are terms}\}$ 

#### Example

- 1. number theory R(a,b) (0  $\leq$  1) R(f(a,x),g(f(b,b),y))  $x + 0 \leq 2y$
- 2. set theory  $y \in X \cap Z$   $R(y, x) \wedge R(y, z)$  (not atomic)  $y = z \ x \in y$

### 6.1. FIRST ORDER LOGIC (PREDICATE CALCULUS)

• Step 2: Defining our wff's, again as I(A, P)The set of wffs in a given language L (given constants, function, relations)

 $I(\text{atomic formulas}, \{\land, \lor, \rightarrow, \neg, O_\forall, O_\exists\})$ 

$$O_{\forall x} \ \frac{\phi}{\forall \phi} \qquad O_{\exists y} \ \frac{\phi}{\exists y \phi}$$

 $L \implies wff's \ of \ L$ 

 $\begin{array}{l} 2x + y^2 \text{ term} \\ \overline{\forall x(2x + y^2)} \\ \overline{x(2x + y^2 = x)} \text{ atomic formula} \\ \overline{\forall x(2x + y^2 = x)} \text{ formula (not atomic)} \end{array}$ 

### 6.1.2 Important syntactic notion - free variable

We define by induction on the construction on the set of wff's,  $F(\phi)$  - the set of free variables of  $\phi$ . If  $\phi$  is atomic -  $F(\phi)$  = all variables occurring in  $\phi$ .

**Examples**  $F(\underbrace{f(b,b)}_{t_1} = \underbrace{g(x,f(b,y)))}_{t_2} = x, y$   $F(\phi_1 \land \phi_2) = F(\phi_1 \lor \phi_2) = F(\phi_1 \to \phi_2) = F(\phi_1) \cup F(\phi_2)$   $F(\phi) = F(\neg \phi)$  $F(\forall x\phi) = F(\phi) \smallsetminus \{x\}$  similar for  $\exists$ 

# L15

**Recall** logical symbols: brackets, connectives, quantifiers, equals, variables. language-dependent: constants  $(c_1, c_2, ...)$  functions, relations  $(R_1, R_2)$ 

Defn (Terms)

 $I(\{c_1, c_2, \ldots, x_1, \ldots\}, \{f_1, f_2 \ldots\})$ 

"atomic formulas"  $\{R_i(t_1, \ldots, t_k) : R_i \text{ is a k-ary relation and } t_j \text{ are terms } (1 \le j \le k) \}$ "wff"  $I(\text{atomic formulas}, \{\neg, \land, \lor, \rightarrow\} \cup \{\forall, \exists\})$ "free variable" of  $\phi$ , some variable not in the scope of  $\forall, \exists$  somewhere in  $\phi$ .

eg  $\forall x(R(x,y)) \{y\}$  is free. (( $\forall x(R(x,y)) \land P(x)$ ))  $\{x,y\}$  $\forall x \exists y f(x,y,z)$  not a wff

**Defn** if  $\phi$  has no free variables,  $\phi$  is a sentence.

**Defn** Given some (syntactical) language  $[c_1, \ldots \text{ constants}, f_1, \ldots \text{ functions}, R_1, \ldots \text{ relations}]$ , a "structure" consists of:

- a universe  $\mathcal{U}$  (domain), non-empty!
- give an element in  $\mathcal{U}$  to each constant  $c_i$
- a mapping for each  $f_i : \mathcal{U}^k \to \mathcal{U}$  ( $f_i$  k-ary)
- a relation for each  $R_i : \mathcal{U}^k \to \{T, F\}$  ( $R_i$  k-ary)

#### number theory language

constants: a, b functions: f, g relation: R All above are syntax

structure:  $\mathcal{U} = \mathbb{N}$  a = 0, b = 1  $f(x, y) = x + y, \quad g(x, y) = x \cdot y$  R(x, y) = T iff  $x \leq y$ 

**defn** An assignment function  $s: V \to \mathcal{U}$ 

#### 7.1. GRAPH THEORY

Extend s to  $\overline{s}$ :  $T \to \mathcal{U}$ 

Extent  $\overline{s}$  (one last time) to handle any wff  $\phi$ .

- 1.  $\phi = (\neg \eta), \overline{s}(\phi) = T \iff \overline{s}(\eta) = F$
- 2.  $\phi = (\eta \to (\land \lor)\psi)$  then use truth table

wlog consider  $\phi = \forall x_i(\eta)$ , define  $\overline{s}_i^d(x) = \begin{cases} \overline{s}(x) & \text{if } x_i \neq x \\ d & \text{if } x_i = x \end{cases}$   $d \in \mathcal{U}$  $\overline{s}(\phi) = T \iff \text{for all } d \in \mathcal{U}$  we have  $\overline{s}_i^d(\eta) = T$ 

Thm Any wff has a unique decomposition

**Cor**  $\overline{s}$  is well-defined.

## 7.1 graph theory

Define a language for graph theory.

#### syntax

- constants to be our vertices
- no functions
- R, P relations



### structure

 $\begin{aligned} \mathcal{U} &= \{a, b, c, d, r, e, f\} \\ R(u, v) &= T \iff (u, v) \text{ is a directed edge} \\ P(u, v) &= T \iff \text{ u to v is connected} \end{aligned}$ 

 $\begin{array}{l} R(u,v) \to P(u,v) \\ \forall u \forall v ((P(u,v) \land \neg R(u,v)) \to \exists z (P(u,z) \land P(z,v) \land \neg (u=z) \land \neg (v=z))) \\ \text{These two fully defines } P \end{array}$ 

# L16

### 8.1 Semantics for 1st order logic

Given a language  $L = \langle R, F, a \rangle$ Semantics for the first order logic over L is a rule that assigns T or F to every wff.

#### Need to fix

1. A structure for L: a universe set and interpretations for the symbols of L

 $\begin{array}{ll} \textbf{Example} \quad L = < R, F(), G(), a, b > \\ M_1 = < \mathbb{N}, \leq, +, \times, 0, 1 > \\ \phi \equiv \forall x \exists y (\neg (x = y) \land R(y, x)) & \text{false in } M_1, M_1 \nvDash \phi \end{array}$ 

2. An assignment of variables to elements of the structure's universe

**Lemma** Let  $\phi$  be a wff in some language L and M a structure for L, then for every assignments  $s_1, s_2$  (to the universe of M) if for every variable that occurs free in  $\phi$ ,  $s_1(x) = s_2(x)$ . Then  $M \vDash_{s_1} \phi$  iff  $M \vDash_{s_2} \phi$ 

**Cor** If  $\phi$  is a sentence (no free var) then for any  $s_1, s_2, M \vDash_{s_1} \phi$  iff  $M \vDash_{s_2} \phi$ . Therefore, when we discuss truth values of sentences we do not specify any assignments.

**Proof of the Lemma** By generalized induction on the structure of  $\phi$ .

**Base step** Atomic formulas:  $(t_1 = t_2)$  or R(x, y, a)(Note that for every term t, if  $s_1, s_2$  agree on the variables in t, then  $\overline{s}_1(t) = \overline{s}_2(t)$ )

**Induction step**  $\rightarrow$ ,  $\land$ ,  $\lor$ ,  $\neg \forall$ ,  $\exists$ .

### 8.2 semantic notions

- 1.  $\phi$  is a logical truth if for every structure for the language of  $\phi$  and every assignment s to that structure  $M \vDash_{s} \phi$
- 2.  $\Sigma$  logically implies  $\phi$  if for every M, s, that make every member of  $\Sigma$  get true.  $M \vDash_{s} \phi$

**Lemma** For every  $\Sigma, \alpha, \beta, \Sigma \cup \{\alpha\} \vDash \beta$  iff  $\Sigma \vDash (\alpha \rightarrow \beta)$ 

 $\mathbf{Cor} \quad \exists y \forall x R(x,y) \vDash \forall x \exists y R(x,y)$